

| OMB APPROVAL | |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | |
|--|--|---|
| 1. Name and Address of Reporting Person* <u>Hunsaker Craig E</u> (Last) (First) (Middle) C/O ALPHATEC SPINE, INC. 5818 EL CAMINO REAL (Street) CARLSBAD CA 92008 (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>Alphatec Holdings, Inc. [ATEC]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>EVP, People & Culture and GC</u> |
| | 3. Date of Earliest Transaction (Month/Day/Year) <u>06/15/2017</u> | |
| 4. If Amendment, Date of Original Filed (Month/Day/Year) | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|--------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 06/21/2017 | | M | | 250,000 | A | \$0.00 | 427,388 ⁽¹⁾ | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|---------------------------|---|--|--|---|--|-------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | | Title |
| Employee Stock Option (right to buy) | \$1.93 | 06/15/2017 | | A | | 33,576 | | 06/15/2017 ⁽²⁾ | 06/15/2027 ⁽²⁾ | Common Stock | 33,576 | \$0.00 | 33,576 | D | |
| Series A Convertible Preferred Stock | \$0.00 | 06/21/2017 | | M | | 500 | | (3) | (3) | Common Stock | 250,000 | \$0 | 0 | D | |

Explanation of Responses:

- Includes 4,166 shares acquired on May 15, 2017, under the Issuer's 2007 Employee Stock Purchase Plan.
- The option grant was approved by a committee of the Issuer's Board of Directors on February 21, 2017, subject to shareholder approval of an amendment to the Issuer's 2016 Equity Incentive Plan to increase the maximum number of shares that may be granted to any one participant during a one-year period to 500,000. The Issuer's shareholders approved the amendment on June 15, 2017. The option vests in 36 monthly installments beginning March 21, 2017, except that all vesting attributable to periods prior to June 15, 2017 is deemed to have occurred on June 15, 2017.
- Each share of Series A Convertible Preferred Stock has no expiration date and is convertible into 500 shares of the Issuer's common stock following the date that shareholders approve such conversion, which approval was obtained on June 15, 2017.

/s/ Craig E. Hunsaker 06/23/2017
 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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