

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Lish Scott</u> _____ (Last) (First) (Middle) <u>C/O ALPHATEC SPINE, INC.</u> <u>5818 EL CAMINO REAL</u> _____ (Street) <u>CARLSBAD CA 92008</u> _____ (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>11/01/2020</u>	3. Issuer Name and Ticker or Trading Symbol <u>Alphatec Holdings, Inc. [ATEC]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ <input checked="" type="checkbox"/> Officer (give title below) _____ Other (specify below) _____ <u>SVP, Development</u>	5. If Amendment, Date of Original Filed (Month/Day/Year) _____ 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common Stock</u>	<u>293,674</u>	<u>D</u>	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
<u>Employee Stock Option (right to buy)</u>	<u>11/07/2018⁽¹⁾</u>	<u>11/07/2027</u>	<u>Common Stock</u>	<u>25,000</u>	<u>3.63</u>	<u>D</u>	
<u>Employee Stock Option (right to buy)</u>	<u>03/22/2019⁽²⁾</u>	<u>03/22/2028</u>	<u>Common Stock</u>	<u>25,000</u>	<u>3.35</u>	<u>D</u>	
<u>Employee Stock Option (right to buy)</u>	<u>07/30/2019⁽³⁾</u>	<u>07/30/2028</u>	<u>Common Stock</u>	<u>60,000</u>	<u>2.69</u>	<u>D</u>	
<u>Warrants (right to buy)</u>	<u>03/08/2018</u>	<u>03/08/2023</u>	<u>Common Stock</u>	<u>13,493</u>	<u>3.5</u>	<u>D</u>	

Explanation of Responses:

- The option vests 25% on November 7, 2018 (the first anniversary of the grant date) and thereafter in 36 equal monthly installments.
- The option vests 25% on March 22, 2019 (the first anniversary of the grant date) and thereafter in 36 equal monthly installments.
- The option vests 25% on July 30, 2019 (the first anniversary of the grant date) and thereafter in 36 equal monthly installments.

/s/ Scott Lish

11/09/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.